

INSTRUCTIONS FOR COMPLETING THE HIGHER LEVEL OF CARE TEMPLATE

Treatment Information (required)	How to complete this section
Requested Start Date for this Authorization	For a new request, this is the date of admission. For a continuing stay request, this is the first uncovered day for continued stay authorization.
Admit Date	The date the member was admitted to your facility.
Has the member been	Select No if the member has not been admitted to your facility and if this is an initial precertification.
admitted to the facility?	Select Yes if the member has already been admitted to your facility and this is a concurrent review request.
Type of Service	Select the appropriate check box for mental health or substance use depending on the member's primary behavioral health diagnosis.
Type of Care	Select the appropriate check box for the type of care being provided.
Type of Review	Initial Precertification – The member has not been admitted or received HLOC services from your facility and an authorization for services has not been received yet. Concurrent Review – The member has already received an initial authorization for HLOC services from your facility. You are completing another review to receive additional day/units for this member.

Demographic Information Requested (required)	How to complete this section
Member Name:	This is the member's name as it appears on their HUSKY benefit card.
Member Medicaid ID	This is the Member ID# from the member's gray HUSKY benefit card.
Member DOB	This is the member's date of birth.
Member Follow-Up Contact Information	Enter the member's phone number or email address so we can contact them for follow-up communications. If this information is not available, enter N/A and the reason why it's not available.
Preparer Name and Phone #	Write the name of the individual who is completing the template and their phone number in case our clinical team needs to contact this person with additional questions.
Facility Name	This is the name of your facility.
Facility ID #	This is your facility's Medicaid ID #. If this is not available, use your facility's NPI #.
Name of Facility/Institution Referring Member to You	Write the name of the facility or institution who referred the member to you.

Diagnostic Information						
Symptomatology	Behavioral Diagnoses (required)	Medical Diagnoses (required)		Social Elements	Functional	Add. Medical
(required)				Impacting Diagnosis	Assessment	Information
				(required)	(optional)	(optional)
"Why now" Please	Minimum requirement of primary	Options include:	Circulatory system - Hypertension	Options include:	May enter	Information
explain the reason	behavioral diagnosis. Add additional	•Infectious & Parasitic - Other	Circulatory system - Heart	Educational problems	functional	concerning the
for current	diagnoses as appropriate. When	•Infectious & Parasitic - HIV	Respiratory system - Other	Financial problems	assessment from	individual's
admission (describe	multiple diagnoses will be listed,	Cancer & Neoplasms	 Respiratory system - COPD, Asthma, 	 Problems with access to health 	following list and	comorbid
symptoms) and	please list the most important	 Blood, blood-forming organs, & immunological 	Emphysema	care services	score:	medical
include the	diagnosis in the first available	 Endocrine, nutritional & metabolic - Thyroid 	Digestive system - Other	 Problems related to interaction 	•WHO_DAS	conditions as
precipitant (what	primary diagnosis field.	 Endocrine, nutritional & metabolic - Diabetes 	Digestive system - Liver	w/legal system/crime	•GAF	well as
stressor or situation		 Endocrine, nutritional & metabolic - Other 	 Skin & subcutaneous tissue 	 Problems with primary support 	•SF12	information
led to this	Please list appropriate ICD code and	 Endocrine, nutritional & metabolic - 	 Musculoskeletal system & connective 	group	•SF36	concerning the
decompensation). If	description. Please see DSM-5 for	Overweight	tissue	Housing problems	•FAST	individual's body
this is a concurrent	further instructions.	 Mental, Behavioral, Neurodevelopmental 	Genitourinary system - Kidney	Occupational problems	 CDC HRQOL 	mass index &
review, please list		Nervous system - Other	Genitourinary system - Other	 Problems related to social 	OMFAQ	potential impact
both the progress		Nervous system - Parkinson's, EPS	Pregnancy, childbirth	environment	•Other	on overall health
that has been made		Nervous system - Multiple Sclerosis	Perinatal period	Other psychosocial &		may be entered
to date, and what		Nervous system - Migraine, Epilepsy, Stroke	 Congenital malformation, deformation, & 	environmental problems (list		for this section.
symptoms still		Nervous system - Chronic pain, other	chromosome abnormality	details)		
remain.		•Eye - Other	•None	•Unknown		
		•Eye - Blindness	•Unknown			
		Circulatory system - Other				

Current Risks: (required)	How to complete this section
Risk to self (SI)	Indicate individual's level of, or absence of, suicidality by circling the appropriate value.
Risk to others (HI)	Indicate individual's potential for, or absence of, violence and/or abuse by circling the appropriate value.
Substance Use	Indicate individual's level of, or absence of, substance use by circling the appropriate value.
Legal	Indicate the individual's level of involvement with the legal system.

Primary Behavioral Diagnosis/ Risk Assessment (Required if primary behavioral health/medical diagnosis relates to any	of the complex categories below. Also required if current risks (above) relating to the complex category is scored as a 2 or 3.)
Suicide Symptom Complex :	Eating Disorder Symptom Complex:
 Presenting Problem (behavioral description of acuity; describe any attempt, rescue, self-rescue, lethality, medical treatment received): Ideation: Plan: Intent: Means: Baseline (include any suicidality, parasuicidality or self-injurious behavior at baseline): Describe any history of attempts: Treatment History: ICM needs (including Community, VO, CM, DM, etc): Other Information pertinent to member's history and current treatment request: Homicide Symptom Complex: Presenting Problem (who is the intended victim? Why does the member want to commit 	 Presenting Problem (describe any binging, purging, restricting, over-exercising, food rituals, etc): % IBW: Orthostatic BP: Standing/; Sitting/ EKG, electrolytes, other lab info: Co-morbid medical issues: Co-morbid psychiatric issues: Baseline: Treatment History: ICM needs (including Community, VO, CM, DM, etc): Other Information pertinent to member's history and current treatment request: Comorbid Organic Brain Syndrome-Psychiatric Disorder Symptom Complex: Presenting Problem (behavioral description of acuity):
 homicide or harm?): Ideation: Plan: Intent: Means: How is this reflective of mental illness versus maladaptive social behavior? Is there a Duty to Warn? Will provider do the Duty to Warn? (Note, if provider will not do duty to warn speak with your supervisor): Baseline: Describe any history of violence (including if member has ever attempted to kill or inflict serious harm): Legal involvement (past or present)? Treatment History: ICM needs (including Community, VO, CM, DM, etc): Other Information pertinent to member's history and current treatment request: 	 Medical work up needed to rule out causality of symptoms? Has a neurological work up been completed? Does member have a UTI? Other labs completed: What is the member's baseline? And when was s/he last at baseline? Is the OP med regimen monitored for under or over medicating? Treatment History: Does the family have reasonable expectations about member's ability to return to baseline (or inability to return to baseline)? Is the member from a nursing home? If so, will the nursing home hold the bed for member's return? If member was living at home, will member be able to return home if recent baseline is achieved? ICM needs (including Community, VO, CM, DM, etc): Other Information pertinent to member's history and current treatment request:
Presenting Problem (behavioral description of symptomatology): Delusions: Hallucinations: Command Hallucinations: Thought Disorder: Baseline: First episode? Neurological workup needed? Is member medication compliant? Has provider explored past medications, compliance, effectiveness? Is there a need for different medication(s)? Describe plan for medication compliance (including supports to assist prn): Treatment History: ICM needs (including Community, VO, CM, DM, etc):	Substance Use Symptom Complex: Presenting Problem (drug(s) of choice, route of administration, amount of use, frequency of use, age of first use, date of last use etc): Psychological & Legal consequences of use: Baseline: Treatment History (previous attempts at treatment & outcome): ICM needs (including Community, VO, CM, DM, etc): History of DTs or seizures: Could the patient be using drugs that wouldn't show on UDS? Other Information pertinent to member's history and current treatment request: Please include Vital Signs: Blood Pressure, Temperature, Pulse (Heart Rate), and Respiratory Rate Please describe withdrawal symptoms. If a COWS/CIWA score is indicated, please explain why that score was given. Please describe co-morbid medical conditions and their impact on the member's substance use and/or behavioral health diagnosis.

Child/ Adolescent Behavior Symptom Complex:

- Presenting Problem (behavioral description of behavioral issues):
- When do these behaviors tend to happen?
- When was the last time these behaviors occurred?
- Do these behaviors occur in the school?
- Is school involved in current treatment plan? Describe coordination with school.
- Is member involved with Special Ed?
- Do these behaviors occur in the home?
- Have family sessions occurred as often as necessary?
- Do the behaviors occur in the community?
- Legal/social service involvement?
- Baseline:
- Treatment History:
- Specific to behavior plan, what assistance will family/guardians need in order to maintain behavior plan?
- ICM needs (including Community, VO, CM, DM, etc):
- Other Information pertinent to member's history and current treatment request:

Mood Disorder Symptom Complex:

- Presenting Problem (behavioral description of acuity):
- Baseline:
- Treatment History:
- If there are any psychotic symptoms, how are they being addressed?
- If an antipsychotic is being used (for psychosis or as a mood stabilizer), has metabolic testing been done?
- Is there a seasonal component?
- Is this postpartum onset?
- ICM needs (including Community, VO, CM, DM, etc):

Other Information pertinent to member's history and current treatment request:

Urine Drug Screen (only required if primary diagnosis is Substance Use)	How to complete this section
UDS completed?	Enter date for most current UDS evaluation.
Outcome of UDS	Note details of urine drug screen, whether results were positive, negative, or pending.
Positive For	Check off all checkboxes pertaining to positive results from the UDS.

ASAM Dimensions (Required if request is Substance Use related)	How to complete this section
Intoxicated/Withdrawal Potential	Low – Not under the influence; no withdrawal potential
	Medium – Recent use; moderate withdrawal potential requiring 24 hour monitoring
	High – Severe withdrawal history; presenting with severe withdrawal; history or current seizure activity
Biomedical Conditions	Low – No current medical problems or complications
	Medium – Diagnosed medical condition requiring monitoring but not intensive treatment
	High – History of, or identified medical condition that requires 24 hour medical/nursing monitoring and/or intensive treatment
Emotional/Behavioral/Cognitive Conditions	Low – No current cognitive/emotional/behavioral conditions
	 Medium – Impaired mental status; passive suicidal/homicidal ideations; impaired ability to complete ADLs.
	High – Active suicidal/homicidal ideations; acutely psychotic/delusional/labile; impacting ability to engage in treatment; symptoms
	require 24 hour psychiatric care.
Readiness to Change	 Low – Ready for/Accepting need for treatment; attending, participating, and can ID future goals, plans
	Medium – Ambivalent about treatment; seeking help to appease others; avoiding consequences; variable to poor engagement.
	High – Lacks awareness of need for treatment despite severe consequences; refusing or is unable to engage; mandated for
	treatment by workplace, CPS and/or court system.
Relapse Potential	Low – Recognizes onset signs; uses coping skills with CD or psychiatric problems
	 Medium – Awareness of relapse triggers or onset signs for MH/SA issues but requires close monitoring.
	High – Continues to use; unable to recognize potential signs and triggers for MH/SA issues despite consequences; unable to control
	use without 24 hour structured setting.
Recovery Environment	Low – Supportive recovery environment for MH/SA issues.
	Medium – Moderately supportive environment/resources for MH/SA issues.
	High – Environment does not support recovery behaviors or efforts; resides with active substance users or abusive individuals; coping
	skills and recovery requires a 24 hour structured setting.

Psychotropic Medications (not required)	How to complete this section
Current Psychotropic Medications	List current medications including start date, dosage, side effects, adherence, effectiveness, prescribing provider and any specific target
	symptoms. On concurrent – if medication is discontinued – please note date and details.
Free text section for additional medication information	With respect to all medications above, please enter any additional details that would assist in coordinating care (i.e., involuntary medication).

Additional Information (required)	How to complete this section
Recovery and Resiliency Environment	To be completed on each review and updated as the recovery & resiliency plan is further developed.
Best Practice Endorsement	Best practice guidelines can be found for reference at http://valueoptions.com/providers/Handbook/treatment_guidelines.htm
Care Planning Team Includes	Check all parties who are involved in the member's care planning.
Is there a child or adult in member's household in need of any support or service?	If you select Yes, indicate the category of support/service needed from the drop down, then provide more details in the text box below.
Is service requested for HLOC because appropriate LLOC is not available?	If you select Yes, complete the following 2 questions for which LLOC is not available and the reason it is not available at this time.

Discharge Information (required for initial pre-certifications and concurrent reviews only)	How to complete this section
Planned D/C level of care	This should be completed for both admission and continued stay requests.
Planned Discharge Residence	This should be completed for both admission and continued stay requests.
Expected Discharge Date	This is not required on initial pre-certifications, but should be completed on all concurrent reviews.

Discharge Information: (to be completed upon discharge only.)	How to complete this section
Actual Discharge Date	Date patient was discharged from the program.
Primary discharge Diagnosis	Primary Diagnosis upon discharge from the program.
Discharge Condition	Has the patient's condition improved, worsened or had no change from onset of treatment?
Treatment involved the following	Check all that apply. This must be completed.
Total # Days/Sessions used	The total number of days/sessions used during this course of treatment.
Discharge plans in place?	This must be completed.
Actual Discharge Level of Care	This must be completed.
Actual Discharge Residence	This must be completed.
Follow Up Contact Information	Information to allow for aftercare follow up with the individual
After Care Behavioral Health Provider	If arranged, enter provider's name, telephone #, scheduled appointment date and type of appointment. This must be completed
Prescribing Physician	If arranged, enter the physician's name, telephone #, check what type of physician it is and appointment date. This must be completed